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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/595,935	08/09/2006	1614	1030	03818/0204414-USO	15	1

CONFIRMATION NO. 9193

CORRECTED FILING RECEIPT



OC000000023419999

23347
 GLAXOSMITHKLINE
 CORPORATE INTELLECTUAL PROPERTY, MAI B475
 FIVE MOORE DR., PO BOX 13398
 RESEARCH TRIANGLE PARK, NC 27709-3398

Date Mailed: 04/17/2007

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Applicant(s)

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 Milan Mesic, Zagreb, CROATIA;
 Dijana Pesic, Sibenik, CROATIA;

Assignment For Published Patent Application

Pliva-Istrazivacki Institut d.o.o., Zagreb, CROATIA

Power of Attorney: None**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/HR04/00052 11/19/2004

Foreign Applications

CROATIA P20030955A 11/21/2003

If Required, Foreign Filing License Granted: 03/09/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/595,935**

Projected Publication Date: 07/26/2007

Non-Publication Request: No

Early Publication Request: No

Title

1-Oxadibenzon[e,h]azulenes for the treatment of central nervous system diseases and disorders

Preliminary Class

514

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U.S. Appl. No. 10/ 59,5735

Patent Application Specialist/ National Stage Division

International Appl. No. PCT/ HR04/00052

Application filed by: ☐ 20 months ☒ 30 months

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☐ French ☐ Spanish ☐ Russian ☐ Other: _____

Not Published: ☐ U.S. only designated ☐ EP request

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INTERNATIONAL APPLICATION PAPERS IN THE APPLICATION FILE:

☒ International Application (RECORD COPY)

☐ PCT/IB/306

☐ Article 19 Amendments

☐ Request form PCT/RO/101

☐ PCT/IPEA/409 IPER: ☐ EP ☐ JP ☐ SE ☐ AU
☐ US ☐ FR ☐ CN ☐ ES ☐ RU ☐ AT ☐ KR ☐ _____

☒ PCT/ISA/210 - Search Report: ☒ EP ☐ JP ☐ SE ☐ AU
☐ US ☐ FR ☐ CN ☐ ES ☐ RU ☐ AT ☐ KR ☐ _____ ☐ NONE

☐ Annexes to 409

☐ Search Report References

☐ PCT/ISA/237: ☐ EP ☐ JP ☐ SE ☐ AU

☒ Priority Document (s) No. 1

☐ US ☐ FR ☐ CN ☐ ES ☐ RU ☐ AT ☐ KR ☐ _____

☐ N/A

☐ PCT/IPEA/409 or PCT/ISA/237 was NOT AVAILABLE at the time of paralegal review

☐ Priority Document was NOT AVAILABLE at the time of paralegal review

☐ Other: _____

RECEIPTS FROM THE APPLICANT (other than checked above):

☒ Basic National Fee (or authorization to charge)

☒ Preliminary Amendment(s) Filed on:

☐ Description ☐ Claims ☒ Abstract

1. ☐ same as 371 request date 2. 5/19/06 3. _____

☐ Drawing Figure(s) - (# of drwgs. _____)

☒ Information Disclosure Statement(s) Filed on:

☐ Translation of Article 19 Amendments

1. ☐ same as 371 request date 2. 12/17/06

☐ entered ☐ not entered:

☐ Assignment Document (forwarded to Assignment Branch)

☐ not a page for page substitution
☐ replaced by Article 34 Amendment

☐ Assignee Statement Under 37 CFR 3.73(b)

☐ Annexes to 409

☐ entered ☐ not entered:

☐ Assignee PG Publication Notice

☐ not a page for page substitution
☐ no translation ☐ other: _____

☐ Substitute Specification Filed on:

1. ☐ same as 371 request date 2. _____ 3. _____

☒ Application Data Sheet

☐ Verified Small Status Statement

☐ Power of Attorney

☒ Oath/ Declaration (executed)

☐ Change of Address

☐ Oath/ Declaration ☐ unsigned ☐ no citizenship ☐ other

☐ DNA Diskette ☐ Sequence Listing

☐ Other: _____

NOTES: ☐ I.A. used as Specification ☐ Other: _____

35 U.S.C. 371 - Receipt of Request (PTO-1390)

Date Acceptable Oath/ Declaration Received

mo. 5 day 19 yr. 2006

Date of Completion of requirements under 35 U.S.C. 371

☒ Same as 371 Req. Date; ☐ mo. 8 day 9 yr. 2006

Date of Completion of DO/ EO 903 - Notification of Acceptance

☐ Same as 371 Req. Date; ☒ Same as OATH Date; ☐ mo. 1 day 1 yr. 200

Date of Completion of DO/ EO 905 - Notification of Missing Requirements

3/9/07 second 4/17/07

Date of Completion of DO/ EO 909 - Notification of Abandonment

Date of Completion of DO/ EO 916 - Notification of Defective Response

Date of Completion of DO/ EO 922 - Notification to Comply w/ Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures

Date of Completion of DO/ EO 923